

TRANSMITTAL TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/194664

INTERNATIONAL APPLICATION NO.

PCT/US97/10613

INTERNATIONAL FILING DATE

19 JUNE 1997

PRIORITY DATE CLAIMED

21 JUNE 1996

TITLE OF INVENTION METHOD FOR PRODUCING AXIALLY SYMMETRIC PARTS AND THE ARTICLE

APPLICANT(S) FOR DO/EO/US

UTYASHEV et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. PCT/IPEA 408 mailed 23 MARCH 1998
 - b. Response to PCT/IPEA 408 mailed 23 June 1998
 - c. PCT International Application published 24 December 1997 as WO 97/48509
 - d. Corrected Title Page of PCT International Application WO 97/48509
 - e. IPEA Amendment mailed 11 September 1998 to the IPEA Report PCT/IPEA/409

12/01/98

17. ☒ The following fees are (37 CFR 1.492(e)(1)-(5)):

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492(e)(1)-(5)):
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	30 - 20 =	10	X \$18.00
Independent claims	2 - 3 =	- 0 -	X \$78.00

\$ 180.00

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$260.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 1020.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 1020.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 1020.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

TOTAL FEES ENCLOSED =

\$ 1020.00

Amount to be:
refunded

\$

charged

\$

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 09-0470 in the amount of \$ 1020.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 09-0470. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jay L. Chaskin
General Electric Company
3135 Easton Turnpike (W3C)
Fairfield, CT 06431

SIGNATURE:

JAY L. CHASKIN

NAME

24030

REGISTRATION NUMBER

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 12/1/98

Docket No. RD-25654

UTILITY PATENT APPLICATION TRANSMITTAL

TO: The Assistant Commissioner of Patents
Box Patent Application
Washington, DC 20231

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) and 35 USC 371 is a new utility patent application for an invention entitled:

METHOD FOR PRODUCING AXIALLY SYMMETRIC PARTS AND THE ARTICLE

and invented by: Farid Zainullaevich UTYASHEV; Oscar Akamovich KAIBYSHEV;
Vener Anvarovich VALITOV

If a continuation application:

☐ continuation of prior application Serial No. ☐ division ☐ continuation-in-part filed

Enclosed are:

1. ☒ Filing fee calculated and transmitted as described below - PTO-1390
2. ☒ Specification having forty (40) pages and including the following:
 - a. ☒ Claims numbered from 1 to 30
 - b. ☒ Abstract of the Disclosure
 - c. ☒ Drawing (s) as follows:
 - (1) ☒ Formal ☐ Informal
 - (2) Number of Sheets - eleven (11) with Figures No. 1 to 11
 - d. ☒ Oath or Declaration as follows:
 - (1) ☐ Original and signed
 - (2) ☒ Unsigned
 - (3) ☐ Copy from prior application Serial No. filed
 - (4) ☒ With Power of Attorney
 - (5) ☐ Without Power of Attorney
3. ☐ Incorporation by Reference (if Box 2d(3) is checked)
4. ☐ Assignment (cover sheet and document)

5. ☒ Information Disclosure Statement/PTO-1449

a. ☒ Copies of Cited Documents

6. ☐ Preliminary Amendment

7. ☒ Acknowledgment Postcard

8. ☒ Certificate of Mailing by "Express Mail"

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 as Express Mail No. EH250893995US on December , 1998 and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Dolores K. Tillson

(Name and Date) Dolores K. Tillson, December / , 1998

9. ☐ Certified Copy of Priority Document

Country
Filing Date
Application No.
Applicant

10. ☐ Verified Statement to establish Small Entity status under 37 CFR 1.9 and 37 CFR 1.27

11. ☒ Additional Enclosures as follows:

a. ☒ PTO-1390

b. ☐


12. FEE CALCULATION AND TRANSMITTAL

Claims as Filed

For	No. Filed	No. Extra	Small Entity		Large Entity	
			Rate	Fee	Rate	Fee
Basic				\$ 380		\$ 760
Total Claims	20 =		x \$ 9 =	\$	x \$ 18 =	\$
Ind. Claims	3 =		x \$ 39 =	\$	x \$ 78 =	\$
Mult. Dep. <input type="checkbox"/>			+\$130 =	\$	+\$260 =	\$
Total Filing Fee				\$		\$

- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0470 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$760.00 as filing fee.
- ☐ Credit any overpayment.
- ☐ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Date: December / , 1998



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